

JC957 U.S. PTO  
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EK 538 216 7604S

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PTO/SB/05 (08-00)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. AUS9-1997-0113-US2

First Inventor Shrader et al.

Title Method and System for Web-Based DCE Management

Express Mail Label No. EK 538 216 7604S

JC957 U.S. PTO  
10/26/00**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 30]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]
- Oath or Declaration [ Total Pages 3 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 17 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

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7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of *(when there is an assignee)*  Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Other: Associate Power of Attorney

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: 08 / 889,727

Prior application information:

Examiner Sax

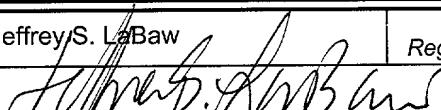
Group / Art Unit: 2773

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710)

## Complete if Known

Application Number	(Divisional of 08/889,727)
Filing Date	Herewith
First Named Inventor	Shrader et al.
Examiner Name	Sax
Group Art Unit	2773
Attorney Docket No.	AUS9-1997-0113-US2

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <b>09-0447</b>  Deposit Account Name <b>IBM Corporation</b>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b> Large Entity Small Entity <table border="1"> <thead> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216 195 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217 445 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218 695 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228 945 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219 155 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220 155 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221 135 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241 620 Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242 620 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243 220 Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244 300 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126 240 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246 355 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249 355 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279 355 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169 900 Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="2">* or number previously paid, if greater; For Reissues, see above</td> <td colspan="2">SUBTOTAL (3) (\$)</td> </tr> <tr> <td colspan="2">Reduced by Basic Filing Fee Paid</td> <td colspan="2"></td> </tr> </tbody> </table>		Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath		127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for ex parte reexamination		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month		116	390	216 195 Extension for reply within second month		117	890	217 445 Extension for reply within third month		118	1,390	218 695 Extension for reply within fourth month		128	1,890	228 945 Extension for reply within fifth month		119	310	219 155 Notice of Appeal		120	310	220 155 Filing a brief in support of an appeal		121	270	221 135 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,240	241 620 Petition to revive - unintentional		142	1,240	242 620 Utility issue fee (or reissue)		143	440	243 220 Design issue fee		144	600	244 300 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	240	126 240 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)		146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279 355 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____				* or number previously paid, if greater; 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jeffrey S. LaBaw	Registration No. (Attorney/Agent)	31,633
Signature		Telephone	512-823-0494
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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09/697264  
10/26/00



In re application of: Shrader et al.

Docket No: AUS919970113US2 Group No: group no. 2773

Serial No. (Divisional of 08/889,727 Examiner: Sax

For: Method and System For Web-Based DCE Management

Assistant Commissioner of Patents  
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**METHOD AND SYSTEM FOR WEB-BASED DCE MANAGEMENT****CROSS-REFERENCE TO RELATED APPLICATIONS**

This is a divisional application of U.S. Patent  
5 Application Serial Number 08/889,727, filed July 08,  
1997, now allowed, titled "Web-Based DCE Management".

**BACKGROUND OF THE INVENTION**10 **1. Field of the Invention**

The present invention relates to an improved data processing system and, in particular, to a method and system for administration and management of computer resources in a distributed computer network.

15 **2. Description of Related Art**

The burdens on computer network administrators have been rapidly growing both in volume and in complexity. Chief among these burdens is the need for corporate 20 administrators to manage their so-called "Distributed Computing Environment" cells. DCE is a known distributed environment that has been widely implemented using software available from the Open Systems Foundation (OSF). In a distributed computing environment, a group 25 of machines is typically referred to as a "domain." An OSF DCE domain is called a "cell." A DCE cell is often a complex environment involving hundreds of machines in many locations.

DCE offers many management challenges to the network 30 administrator. The management tasks are quite broad in scope, ranging from defining new accounts to retrieving

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the status of DCE servers. In the past, there has not been a convenient user interface by which the administrator can perform these various management tasks in an efficient, consistent and reliable manner. For 5 example, to define a DCE account, the administrator must create a DCE principal, add the principal to a group, add the principal to an organization, and then finally create the account. This operation requires access to multiple display menus and entry of numerous commands. As another 10 example, it is important for the network administrator to be aware of the current status of all DCE servers in the environment. Known DCE management interfaces do not provide simple graphical presentation of server status, and thus administrators cannot easily retrieve 15 information about them so as to facilitate and execute management actions. As a result, known DCE management schemes presently implement complex, text-based management interfaces that include unnecessary information that complicates the efficient management of 20 DCE cells.

The present invention addresses this important problem.

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**SUMMARY OF THE INVENTION**

It is a primary object of the invention to simplify the administration and management of DCE cells.

5 It is another primary object of the invention to provide for graphical-based administration of a DCE cell.

10 It is a further primary object to overlay a GUI-based interface over a command line interface by exploiting the known relationships between underlying objects and actions in the CLI interface.

It is a more general object of the invention to allow Web browser-based administration of a set of networked computers connecting in a distributed environment.

15 Yet another more specific object of this invention is to provide for DCE Web-based administration of a cell to allow network administrators to manage DCE cells from any secure World Wide Web or Internet client machine.

20 It is another object of the invention to enhance the consistency, simplicity and portability of DCE cell management and thereby enable network administrators to easily retrieve information on a DCE cell and execute management actions against such information.

25 Another more specific object of this invention is to provide a streamlined graphical user interface for DCE cell management to thereby reduce information overload during network administration.

30 Still another object of the invention is to take advantage of Web browser "frames" to present a network administrator with complementary input and output

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information between which the administrator may navigate to effect different management actions.

Another object is to enable the network administrator to easily determine the status of any DCE server in the environment.

The present invention takes advantage of a known Web browser mechanism and existing DCE interfaces to facilitate and simplify management of DCE cells. In the preferred embodiment, administration may be performed from any secure Web browser acting as a client.

Management data is typically supported on a target Web server. At the browser, CGI scripts are used to dynamically generate HTML (hypertext markup language) pages based on the network administrator's selections and the current state and defined objects in the DCE cell. The result is a robust and efficient Web-based DCE management scheme that provides significant advantages over the simple text-based and other known interfaces of the prior art.

The Web-based interface design of the invention is hierarchical, starting with a logon page that allows the administrator to log into the cell that includes the target Web server. After logon, the administrator proceeds to a DCE Web Administration main menu from which a number of management actions may be launched including DCE command line operations (using the DCECP function), server status inquiries, and "fast path" tasks. Hyperlink references are provided to facilitate navigation options. From the DCECP Commands hyperlink, the administrator proceeds to a table display of the main functions available in DCECP, which include registry groupings of principals, groups, organizations and

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accounts. Management functions are facilitated using a dual frame display whenever information is input by the administrator in one frame while management data is simultaneous output in another frame. Preferably,

5 form-based information is received in the first frame of the display. This frame technique maximizes interaction and feedback to the administrator, who would otherwise have to switch back and forth between the forms page and the output page to analyze the impact of administrative  
10 actions using the DCECP command functions.

The upper frame of the interface is preferably subdivided into a number of display areas. In a command line interface, there are predetermined relationships between underlying objects and actions that make up the  
15 interface. A first display area of the upper frame of the invention displays a graphical representation of the CLI object/action hierarchy. Hyperlinks associated with the elements in the representation present the administrator with simple navigation options. A second display area includes a form by which the user types in, selects and/or checks information associated with a particular object/action relationship. A third display area may be used to display control elements. According to the invention, the user may navigate (within the first  
20 display area) between actions associated with the same object, in which case the interface preferably retains in the second display area a last context selected by the user. The user may also navigate within the first display area) to new objects, in which case the interface  
25 preferably refreshes the second display area as needed to  
30

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illustrate a new context between the new object and its associated actions.

The foregoing has outlined some of the more pertinent objects and features of the present invention.

5      These objects should be construed to be merely illustrative of some of the more prominent features and applications of the invention. Many other beneficial results can be attained by applying the disclosed invention in a different manner or modifying the

10     invention as will be described. Accordingly, other objects and a fuller understanding of the invention may be had by referring to the following Detailed Description of the Preferred Embodiment.

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**BRIEF DESCRIPTION OF THE DRAWINGS**

5       The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, further objectives, and advantages thereof, will be best understood by reference to the following detailed description when read in conjunction  
10      with the accompanying drawings, wherein:

**Figure 1** is a representative system in which the present invention is implemented;

**Figure 2** is a representative display screen showing a DCE logon Web page;

15      **Figure 3** is a flowchart illustrating the basic operation of the hierarchical display interface according to the present invention;

**Figure 4** is a representative display screen showing a DCE Server Status Web page;

20      **Figure 5** is a representative display screen illustrating the DCECP Command Main Menu Web page; and

**Figure 6** is a representative display screen illustrating a DCECP Account Show Web page.

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## DETAILED DESCRIPTION OF THE INVENTION

A representative system in which the present invention is implemented is illustrated in **Figure 1**. A client machine **10** is connected to a Web server platform **12** via a communication channel **14**. For illustrative purposes, channel **14** is the Internet, an Intranet or other known network connection. Client and server, in turn, are located within a DCE domain or "cell", which is generally a set of connected machines that share a single namespace. Web server platform **12** is one of a plurality of servers which are accessible by clients, one of which is illustrated by machine **10**. It supports files in the form of hypertext documents and objects.

A representative client machine includes a browser **16**, which is a known software tool used to access the servers of the network. Representative browsers that support frames include, among others, Netscape Navigator, Microsoft Internet Explorer or the like, each of which are "off-the-shelf" or downloadable software programs. The Web browser **16** implements display "frames." A frame is a dedicated region or area of the browser display screen which includes separate display control elements such as scroll bars and the like. In the preferred embodiment, a "dual" frame approach is used, however, this is merely exemplary as any number of frames may be used in the DCE management interface.

A representative Web Server platform **12** comprises an IBM RISC System/6000 computer **18** (a reduced instruction set of so-called RISC-based workstation) running the AIX

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(Advanced Interactive Executive Version 4.1 and above) Operating System 20 and a Web server program 22, such as Netscape Enterprise Server Version 2.0. The platform 12 also includes a graphical user interface (GUI) 24 for 5 management and administration. While the above platform is useful, any other suitable hardware/operating system/Web server combinations may be used.

The DCE cell includes a number of services including, among others, a Security Service 52. The DCE 10 cell may use so-called DCE Kerberos-based authentication. A UNIX "credential" is associated with each operation and holds the local authentication information for that 15 operation. In particular, a credential is a data structure defining a particular machine (or a user on a multi-user machine). The credential typically includes a user id, a group id, optionally a list of operating system privileges, and an authentication identifier known as a PAG (Process Authentication Group). The PAG acts as a tag for associating "tickets" between clients and the 20 DCE Security Server 52. When users authenticate via the DCE Login facility, known as dce\_login, the DCE Security Service interacts with the client (across the network) through a setpag() interface to establish the PAG/ticket 25 relationship in the process's credential. When a user (at a client machine) has properly authenticated via a DCE login, the credential is retained at each end of the connection.

According to the present invention, administrators manage the DCE cell from any secure Web browser, such as 30 browser 16 shown in **Figure 1**. In the preferred embodiment, the preferred interface is hierarchical. It

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may start with a logon page that allows DCE cell administrators and other users to log into the DCE cells that includes the target Web server. A representative logon page is illustrated in **Figure 2**. It includes a  
5 fill-in form comprising a Userid field 60, a Password field 62, a Submit button 64 and a Reset button 66. Faced with this screen, the administrator may decline to logon but, in such case, he or she would be limited in which functions may be performed later. As noted above,  
10 the display is located within a Web browser window 65. It may thus be considered to be a Web page.

**Figure 3** is a flowchart illustrating the basic operation of the hierarchical display interface according to the present invention. At step 70, the logon page as  
15 illustrated in **Figure 2** is displayed. Thereafter, the user enters the userid and password at step 72. A check is then made at step 74 to determine whether the user can be authenticated. If the user cannot be authenticated, which is indicated by a negative outcome of the test at  
20 step 74, the routine continues at step 76 to restrict the user's access to the DCE management tasks. If, however, the user can be authenticated, the routine continues at step 78 to display a DCE Web Administration main menu. The main menu generally includes a list of high level  
25 management operations such as "DCE Fast Path," "DCE Server Status" and "DCECP Commands." These operations (and their associated commands are merely representative). At step 80, a test is performed to determine whether the administrator has selected the DCE  
30 Fast Path command. Such selection may be accomplished by

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clicking on a hypertext reference link associated with the command line, in a known manner. If the administrator has selected the DCE Fast Path command, the routine branches to step 82 to provide a new Web page  
5 from which a DCE Fast Path command may be implemented.

Fast Path commands allow the administrator to perform commonly used tasks in one step that usually require multiple steps. For example, the DCE Fast Path Tasks page provides the administrator with a link to a  
10 form where the administrator can define an account in one step without forcing the administrator to first create the principal, add the principal to a group, add the principal to an organization, and finally create the account. Of course, defining an account is merely one  
15 "Fast Path" task that may be implemented.

If the outcome of the test at step 80 is negative, a test is then performed at step 84 to determine whether the administrator has selected (e.g., via activating a hypertext link) the DCE Server Status command from the  
20 main menu Web page. If so, the routine branches to step 86 to provide a display showing what servers are installed in the DCE cell and their current status.

**Figure 4** represents the Web page display. As illustrated, the page is maintained within the Web  
25 browser window and includes a first table 88 showing the "Configuration Status" of DCE Servers and a second table 90 illustrating their "Process Status." The information is provided in a convenient, easy-to-understand format that may be readily accessed and used by the  
30 administrator. In particular, the information is presented in a manner expected by the administrator and

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without unnecessary data or other noise. Although not illustrated in detail, it should be appreciated that the mechanism also enables the administrator to obtain other attributes about the servers (e.g., such as which hostnames they reside on) as may be convenient.

Turning back to the operational flowchart of **Figure 3**, if the outcome of the test at step **84** is negative, then it is assumed (if a selection has been made) that the user has selected the DCECP Commands entry in the main menu. Such selection, as noted above, may be effected by clicking on a hypertext link. This causes the routine to branch to step **92**. At this point, the administrator proceeds to a new Web page illustrating a table display of the main functions available in DCECP. DCECP is a known administrative interface for performing DCE management tasks. An exemplary page is shown in **Figure 5**. According to the present invention, the DCECP main menu Web page utilizes frames.

As shown, the Web browser has first and second frames **94** and **96**, each of which includes its own set of control bars, in a known manner. The first and second frames could be side-by-side as opposed to one above the other. The relative size of the first and second frames may be different, and a different number of frames may be used. In this embodiment, the upper frame **94** includes the DCECP main menu table **100**, and the lower frame **96** includes a display of management data (in this case a Help screen showing a DCECP object listing). The main menu table **100** is organized into a defined hierarchy with the various registry objects including account and principal. The group and organization objects, among

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others, are not shown. Although not illustrated, a DCE principal may belong to many groups but typically just one "primary" group. Likewise, a DCE organization may belong to many organizations but typically just one  
5 primary organization. As can be seen, the registry object called "account" has a number of "actions" associated therewith: catalog, create, delete, generate, modify and show, and the registry object called "principal" has its associated action set. Each of the  
10 actions in a given set has associated therewith a hypertext link. Typically, the actions are operations that are common to more than one object (although this is not required). When the user moves the mouse pointer over the link, the link target is displayed in the Status  
15 bar 105. Activation (i.e. selection) of a link on the Web page generates an HTML request in a known manner.

Generalizing, in the DCECP command line interface, there are predetermined "relationships" that exist between the underlying objects and their actions. A detailed description of these relationships is beyond the scope of the present invention, however, the inventive interface takes advantage of the known relationships between the underlying objects and actions to create a robust mechanism for presenting and manipulating  
20 information on top of a command line interface (CLI) such as DCECP. This is illustrated in **Figure 6** by way of example. **Figure 6** is a multi-frame Web page displayed when the administrator selects the account show action from the main menu table displayed in **Figure 5**.

30 The upper frame comprises several areas, and the relative positions of each area as shown is merely

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illustrative. at the top of the frame (just below the title line), a data structure 110 is displayed in a first display area 109. Data structure 110 is a graphical representation of a hierarchy of the CLI interface and thus illustrates the known "relationships" between the various objects and their actions. In this representative embodiment (involving the DCECP CLI), the hierarchy comprises the DCECP main menu entry 111, a registry objects entry 112 including a set of registry objects each separated by [ ], and actions entry 114 including a set of actions each separated by [ ]. The graphical representation may take on other forms (such as the display of icons or other graphical devices) representing the various objects and actions.

Preferably, each "element" of the representation includes a hypertext link associated therewith so that (in the context of a Web page) the particular object or action may be selected by a conventional point and click or other input method. If an element of the hierarchy is being currently displayed, it is typically highlighted (e.g., by bolding).

The DCECP command Web page upper frame also includes a second display area 115 located between a pair of display elements 118 and 120. Display area is variable and may include many different types of elements. Typically, this area includes a "form" displaying a set of elements (e.g., object names, account names, lists of attributes associated with objects, etc.) and an active control such as a scroll bar or other known device. In this particular example, the display area includes a listbox 116, although it should be appreciated that the

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actual graphical device (i.e. the form) displayed in area 115 will typically vary as the user undertakes to move through the hierarchy by pointing and clicking on the links.

5       The upper frame may also include a third display area 121 underlying the second display area 115. In general, display area 121 includes one or more dialog boxes, checkboxes and/or control buttons, for example, to facilitate the "execution" of the variable form

10      information entered in the second display area 115.

Thus, the Execute button packages the form information - whatever the user types in, selects and/or checks - in the display area 115, and passes it to the Web server for execution (typically via a CGI script). The resulting output or error information may then be displayed in the bottom frame. Additional controls may also be included in the third display area 121. For example, the Reset button sets all the variable form controls back to their initial values. A Debug checkbox allows the administrator to gain additional output in the bottom frame, such as the format and type of CGI parameters passed to the server. A Verb Help checkbox provides verbose textual help when the administrator selects the button. These controls are merely representative.

25      According to the present invention, the interface takes advantage of the existing object and action relationships of the CLI interface as such representations are set forth in the data structure 110. When the administrator moves from a first action to a

30      second action associated with the same object, the interface retains (as a default) whatever last "context"

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exists in the second display area. Thus, for example in Figure 6, the first action is "show" (which is bolded) and the object is "account." The predetermined relationship between the "account" object and the "show" action requires a listbox 116 of account names to be displayed in the second area (since the DCECP CLI syntax returns a list of account names as an argument to the account show command). The display illustrates the administrator selecting cell\_admin from the list of accounts in the DCE cell, checking the "all" option, and pressing the "Execute" button in the top frame. In response, an HTML request is issued to the target Web server to which the secure Web client is connected. A management task is effected at the Web server (preferably via a CGI script), and the resulting management data is returned to the Web client and displayed in the bottom frame. The bottom frame shows the results of executing the action, which is all the attributes and values stored on the cell\_admin account object.

Now, as discussed above, it is assumed that the administrator moves from the "show" action to the "modify" action. In other words, the administrator has moved between actions for the same object (in this case, the "account" object). The first action may be considered a currently selected action and the new action may be considered a target action. In the preferred embodiment, the listbox 116 in the second display area remains persistent, as the interface defaults to the last context used by the administrator. Thus, the interface infers that the administrator desires to modify the

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properties of cell\_admin, although the administrator may select some other account name.

Instead of just moving between actions for a given object, the administrator may desire to move to a new object. In the example shown in Figure 6, this operation would be effected by the administrator pointing and clicking on another registry object (sometimes referred to as a "target") in line 112 of the hierarchy. In this case, the existing relationship between the target object and the currently-selected action may (or may not) dictate an alternate display element in the second display area. If, as a result of this relationship, a new display element is required, the display area 115 is refreshed with a new form or other required element. The display area 115 thus displays a given "context" associated with a given object and a given action. Thus, for example, if the administrator were to click on the group link in Figure 6 (given that account and show were the current selections), the group link would be highlighted (and the account link highlight removed), the display area 115 would be refreshed with a new listbox including a list of "groups." This new listbox represents a new context. One of the groups (preferably the "primary" group of the previously selected account, by default) would be highlighted. New control buttons (associated with the new object/action relationship) will then be displayed in the third display area 121. The administrator then uses this newly-refreshed upper frame to continue the management operation.

Generalizing, the upper frame of the interface (which may be the entire screen if desired) includes a

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first display area in which is displayed a graphical representation of the CLI object/action hierarchy.

Hyperlinks associated with the elements in the representation present the administrator with simple

5 navigation options. A second display area includes a form by which the user types in, selects and/or checks information associated with a particular object/action relationship. The user may navigate in the first display area between actions associated with the same object, in  
10 which case the interface preferably retains in the second display area a last context selected by the user. The user may also navigate in the first display area to new objects, in which case the interface preferably refreshes the second display area as needed to illustrate a new  
15 context between the new object and its associated actions.

Thus, in the illustrated embodiment of Figure 6, the first entry 111 gives the administrator the chance to make a major traversal back up the administration tree by  
20 hopping back to the DCECP Main Menu Web page. This is accomplished as noted above by placing the cursor on the DCECP Main Menu link and clicking. Additional "major" navigation options may also be available on this line if desired. The second line (reference numeral 112) allows  
25 the administrator to navigate between related object groups. The third line (reference numeral 114) allows the administrator to navigate between actions on the same DCE object.

30 Preferably, the DCE object and action navigation links for the elements being displayed are in bold and are not selectable on the page to which they are related.

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This provides a further navigation clue, besides the title, regarding which action(s) the administrator can perform. The second and third navigation lines of the hierarchy 110 provide a powerful set of architectural links for administrators to quickly and easily perform different actions without having to traverse up and down a fixed hierarchy. As previously noted, preferably the contents of the bottom frame will not change until the Execute or Help buttons (in the third display area) are pressed.

Preferably, the two frame design of **Figure 6** is utilized whenever form-based information is needed and some output of management data may be generated. Generally, the top or upper frame displays the form that the administrator fills out in preparation of executing a given command or action, while the bottom frame shows the output of the command or action. In addition, most Web browsers allow users to copy or save text from either frame for inclusion in reports or other files. Thus, information set forth in the various frames may be copied into other documents and saved in a known manner.

The use of browser frames in which command and actions, on the one hand, and management data, on the other hand, are manipulated, provides significant advantages in the context of a DCE management scheme. This approach maximizes interaction and feedback to the administrator. For example, the administrator can quickly select an account name from the list of accounts in the DCE cell in the top frame, press the Execute button at the bottom of the top frame and have the results appear in the bottom frame. To perform the

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action on another account, the administrator can follow the same steps without needing to switch pages. When the administrator switches to another action, such as from account catalog to account show, the top frame will change, but the contents of the bottom frame preferably will not change. This gives the administrator a chance to view the results of a previous action and make a next action based on observation of the previous results, all without having to switch to another page or interface.

The present invention provides numerous advantages over the prior art. An existing secure Web browser can be used to manage DCE cells. The browser interface is well-known and easy to use. It affords a consistent and reliable means by which a network administrator can retrieve management information from a server in the DCE cell. Management is simplified by presenting information hierarchically and through exploitation of the known DCE object/action interfaces. Using Web-based CGI scripting, the tool reacts dynamically to administrator selections and the current state and defined objects in the DCE cell. The interface is highly streamlined and, typically, does not attempt to represent every DCE object as an icon or graphic on the Web page.

The frame-based approach described in the preferred embodiment offers significant advantages. Without this design, the administrator would have to view the results of his or her administrative actions on a separate page and then switch back and forth between the forms page and the output page. Non-frame based Web browsers would also have to present their results in this way.

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In the preferred embodiment, the DCECP command Web pages are not statically defined HTML pages (although they could be). Instead, these command pages take advantage of CGI scripting to dynamically generate HTML  
5 pages based on the administrator's actions and selections. For example, in the illustrated embodiment discussed above, there is no "account\_show.html" page per se. Rather, when the administrator selects the account show action, a CGI script builds the HTML page, filling  
10 in dynamic information, such as the current list of accounts in the DCE cell, and sends this information back to the secure Web browser client for presentation to the administrator. This implementation has many advantages in eliminating the need for multiple static Web pages.  
15 It also reacts to and presents changeable information in the cell.

This Web-based design uses the DCE command line program, DCECP, to effect most of the administrator's tasks. This provides the administrator with a direct  
20 correlation between actions performed in the Web interface to commands and tasks that can be performed with DCECP on the workstation or via a telnet connection to the workstation. DCECP is not a required part of the design, however. The CGI scripts behind the Web pages  
25 may interface with a custom-built daemon to process the administrator-initiated commands.

Another advantage is that inventive interface is portable to many versions of DCE since it uses the standard DCECP command line interface. It only needs to  
30 be recompiled, if implemented in a non-interpreted language, per operating system platform, not per version

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of DCE. The interface is also portable to many Web servers since it is preferably implemented as CGI scripts, not as special plug-ins or additions to the Web server application. Moreover, in the preferred embodiment, the interface does not require Web client side changes. This enables any frame-enabled Web browser to be used.

The present invention includes a "client" component resident on a computer configured as a secure Web client, and a "server" component resident on a computer configured as a target Web server. Management information is generally supported on the target Web server and is thus accessible to a user (e.g., a network administrator) operating the client machine after a logon in which a "credential" is maintained at each end of the Internet connection.

The present invention is not limited to management of DCE "cells" either. One of ordinary skill in the art will appreciate that the inventive use of a Web-based or other graphical user interface may be implemented in any distributed computing environment (not merely OSF DCE) wherein it is desired to have an administrator manage client machines in secure manner using a CLI. Moreover, the set of DCE-based objects and actions are merely representative as well, and the present invention should be broadly construed to cover any interface that presents a set of objects and a set of actions and allows the user (e.g., an administrator) to select both an object and an action and then switch between respective objects or actions. The interface may be generalized to any display tool that presents the results of a prior-executed action

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while allowing the user to select a new object and action to be executed.

One of the preferred implementations of the Web-based DCE management scheme of the invention is as a set of instructions (program code) in a code module resident in the random access memory of the computer.

Until required by the computer, the set of instructions may be stored in another computer memory, for example, in a hard disk drive, or in a removable memory such as an optical disk (for eventual use in a CD ROM) or floppy disk (for eventual use in a floppy disk drive), or downloaded via the Internet or other computer network.

In addition, although the various methods described are conveniently implemented in a general purpose computer selectively activated or reconfigured by software, one of ordinary skill in the art would also recognize that such methods may be carried out in hardware, in firmware, or in a more specialized apparatus constructed to perform the required method steps.

As used herein, "Internet client" should be broadly construed to mean any computer or component thereof directly or indirectly connected or connectable in any known or later-developed manner to a computer network, such as the Internet. The term "Internet server" should also be broadly construed to mean a computer, computer platform, an adjunct to a computer or platform, or any component thereof. Of course, a "client" should be broadly construed to mean one who requests or gets the file, and "server" is the entity which downloads the file. It should also be appreciated that the present invention could be used to cache data and programs at a

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local server serving a set of Internet clients from a master server to conserve network resources.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of instructions in a computer readable medium and a variety of other forms, regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include media such as EPROM, ROM, tape, paper, floppy disc, hard disk drive, RAM, and CD-ROMs and transmission-type media, such as digital and analog communications links.

The description of the present invention has been presented for purposes of illustration but is not intended to be exhaustive or limited to the disclosed embodiments. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiments were chosen to explain the principles of the invention and its practical applications and to enable others of ordinary skill in the art to understand the invention in order to implement various embodiments with various modifications as might be suited to other contemplated uses.

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**CLAIMS**

What is claimed is:

1. A method of effecting management tasks in a  
5 distributed computing environment cell having at least  
one Web client with a browser connectable to a Web  
server, the distributed computing environment including a  
security service, comprising the steps of:

authenticating a user of the Web client by returning  
10 a credential from the security service;

responsive to user actions, displaying a plurality  
of Web pages in the browser from which the authenticated  
user manages the distributed computing environment cell;  
and

15 managing the distributed computing environment cell  
from the Web browser.

2. The method of claim 1 wherein upon authentication of  
the user, an administration main menu Web page of the  
20 sequence of Web pages is displayed.

3. The method of claim 2 wherein the administration  
main menu Web page includes hypertext links associated  
with management command options.

25 4. The method of claim 3 wherein the step of managing  
the distributed computing environment cell is initiated  
by selecting one of the hypertext links associated with a  
management command option.

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5. The method of claim 4 wherein the management command option calls a fast path task Web page from which the authenticated user performs multiple step administrative tasks with a single action.

5

6. The method of claim 4 wherein the management command option calls a server status Web page from which the authenticated user may view server status information.

10 7. An apparatus for effecting management tasks in a distributed computing environment cell having at least one Web client with a browser connectable to a Web server, the distributed computing environment including a security service, the apparatus comprising:

15        authenticating means for authenticating a user of the Web client by returning a credential from the security service;

20        first displaying means for displaying, responsive to user actions, a plurality of Web pages in the browser from which the authenticated user manages the distributed computing environment cell; and

      managing means for managing the distributed computing environment cell from the Web browser.

25 8. The apparatus of claim 7 wherein the first displaying means further comprises:

      second displaying means for displaying, upon authentication of the user, an administration main menu Web page of the plurality of Web pages.

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9. The apparatus of claim 8 wherein the administration main menu Web page includes hypertext links associated with management command options.

5 10. The apparatus of claim 9 further comprising:

initiating means for initiating management of the distributed computing environment cell by selecting one of the hypertext links associated with a management command option.

10

11. The apparatus of claim 10 wherein the management command option calls a fast path task Web page from which the authenticated user performs multiple step administrative tasks with a single action.

15

12. The apparatus of claim 10 wherein the management command option calls a server status Web page from which the authenticated user may view server status information.

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13. A computer program product on a computer readable medium for use in a data processing system for effecting management tasks in a distributed computing environment cell having at least one Web client with a browser  
5 connectable to a Web server, the distributed computing environment including a security service, the computer program product comprising:

instructions for authenticating a user of the Web client by returning a credential from the security  
10 service;

instructions for displaying, responsive to user actions, a plurality of Web pages in the browser from which the authenticated user manages the distributed computing environment cell; and

15 instructions for managing the distributed computing environment cell from the Web browser.

14. The computer program product of claim 13 wherein the instructions for displaying further comprise:

20 instructions for displaying, upon authentication of the user, an administration main menu Web page of the plurality of Web pages.

15. The computer program product of claim 14 wherein the administration main menu Web page includes hypertext links associated with management command options.  
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16. The computer program product of claim 15 further comprising:

initiating means for initiating management of the distributed computing environment cell by selecting one of the hypertext links associated with a management command option.

17. The computer program product of claim 16 wherein the  
management command option calls a fast path task Web page  
from which the authenticated user performs multiple step  
administrative tasks with a single action.  
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18. The computer program product of claim 16 wherein the  
management command option calls a server status Web page  
from which the authenticated user may view server status  
information.

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**ABSTRACT OF THE DISCLOSURE****METHOD AND SYSTEM FOR WEB-BASED DCE MANAGEMENT**

5        A frames-based Web browser is used with existing distributed computing environment (DCE) interfaces to facilitate and simplify management of DCE cells. In the preferred embodiment, administration may be performed from any secure Web browser acting as a client.

10      Management data is typically supported on a target Web server. At the browser, CGI scripts are used to dynamically generate HTML (hypertext markup language) pages based on the network administrator's selections and the current state and defined objects in the DCE cell.

15      The result is a robust and efficient Web-based DCE management scheme.

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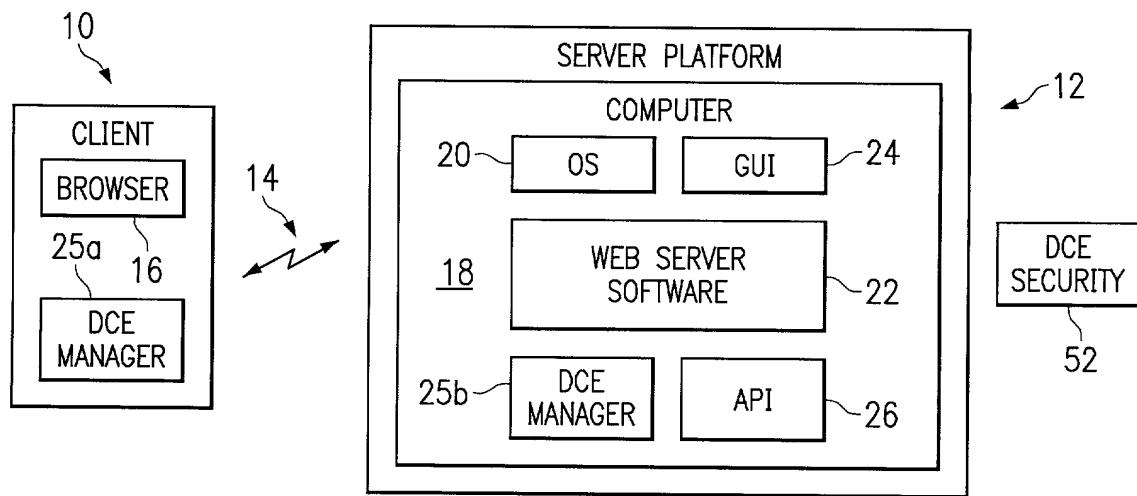


FIG. 1

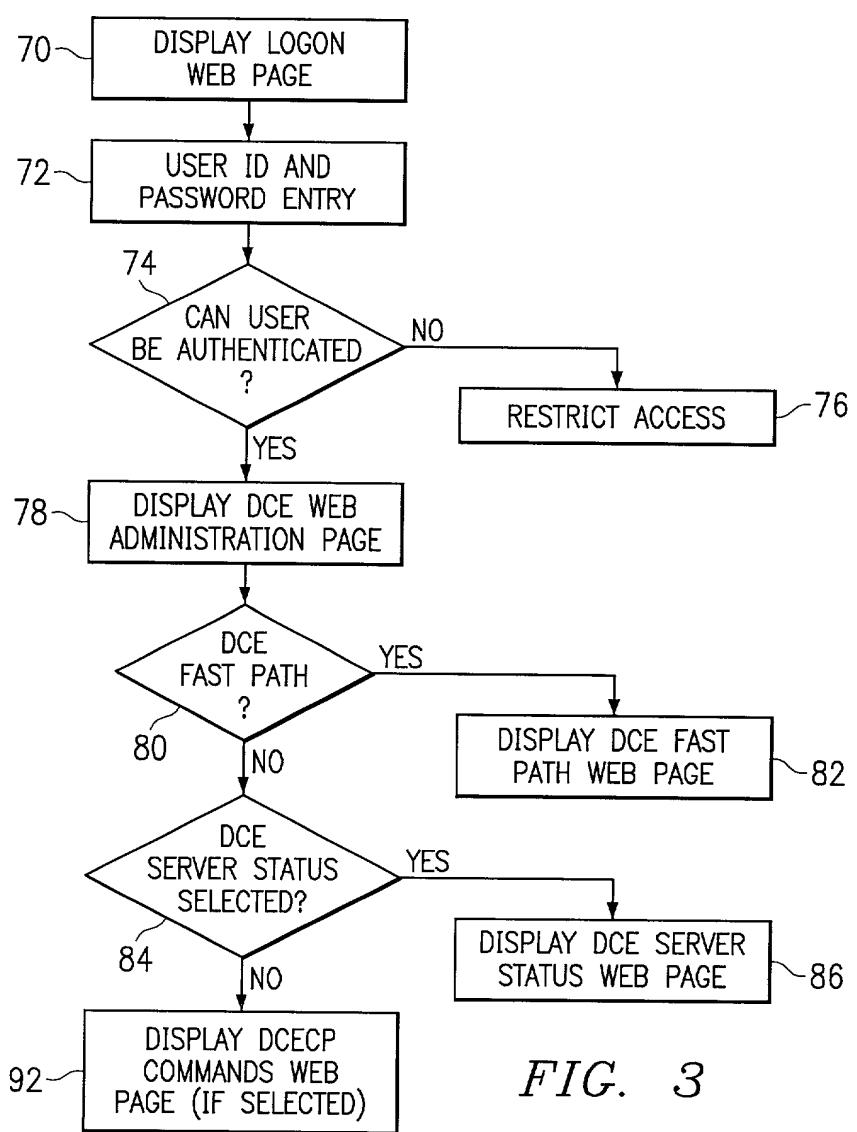
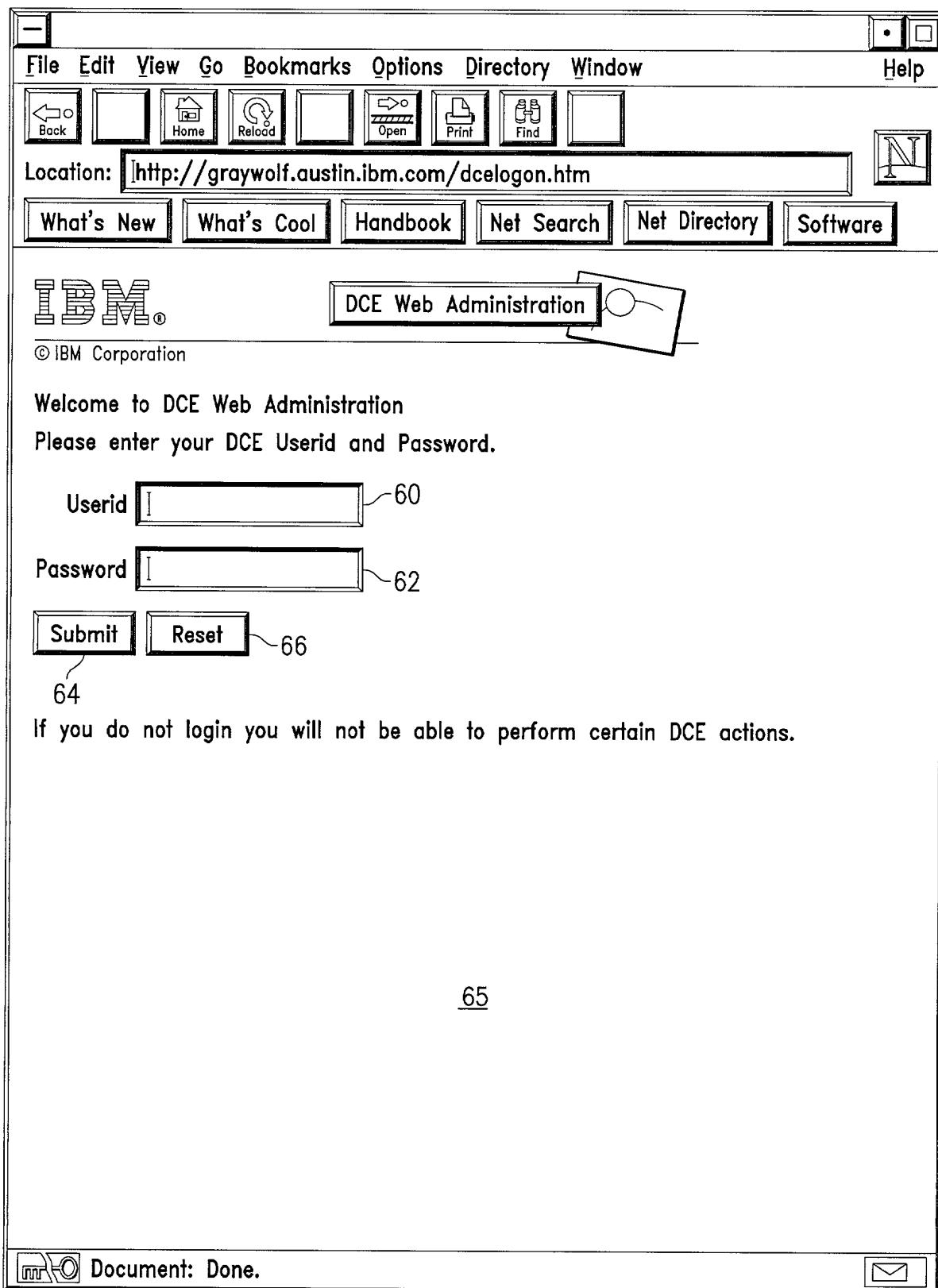


FIG. 3



*FIG. 2*

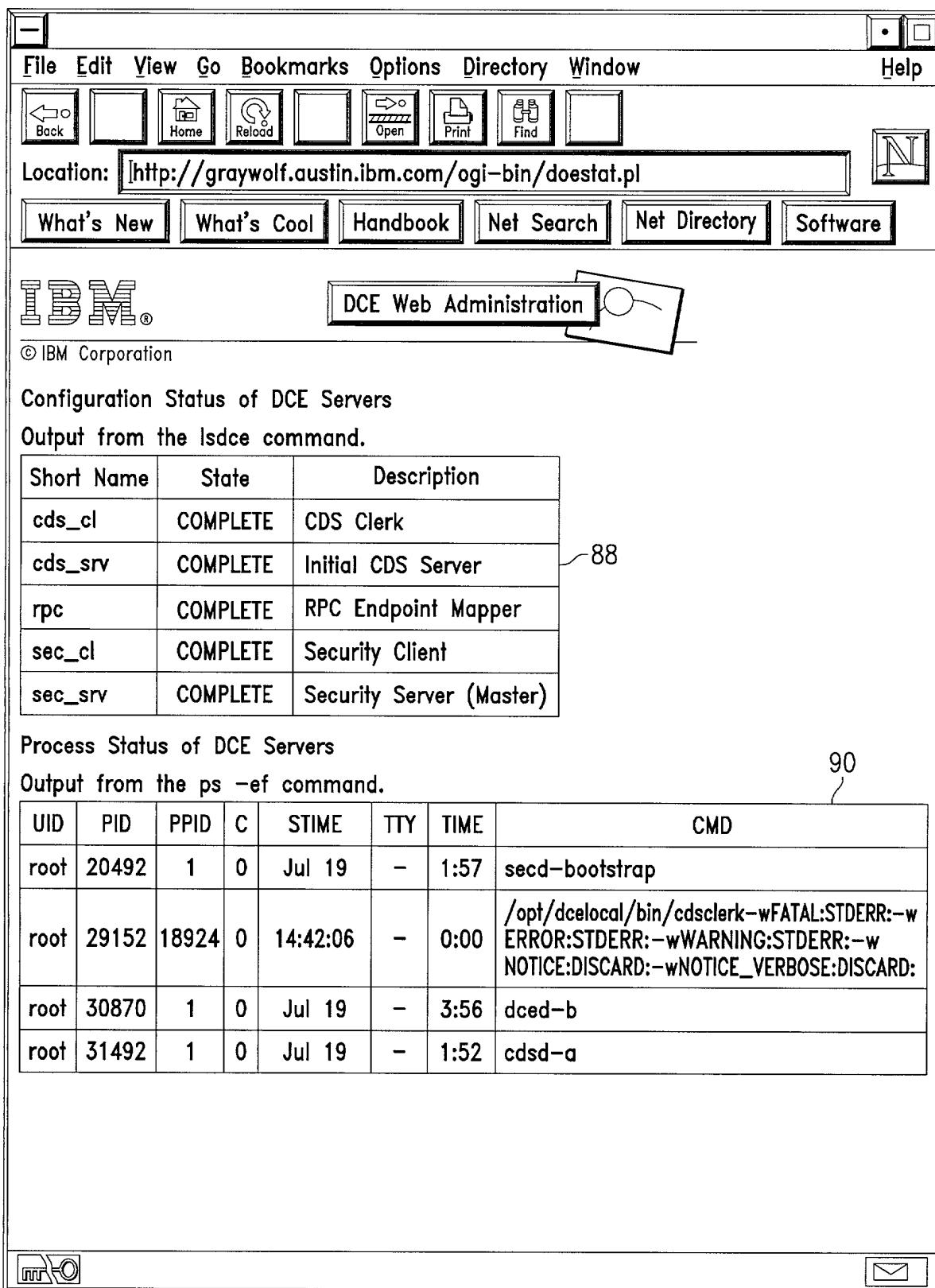


FIG. 4

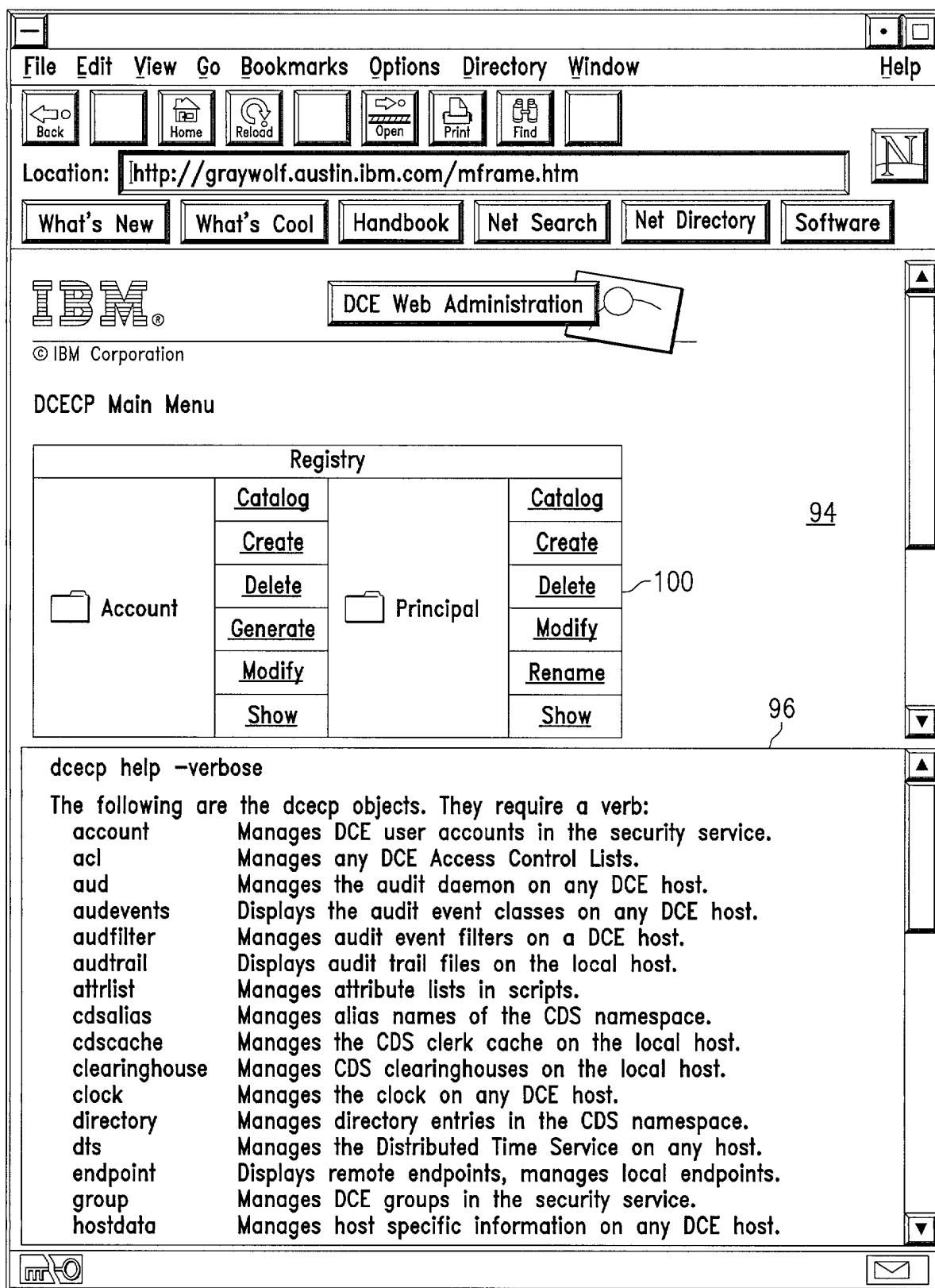


FIG. 5

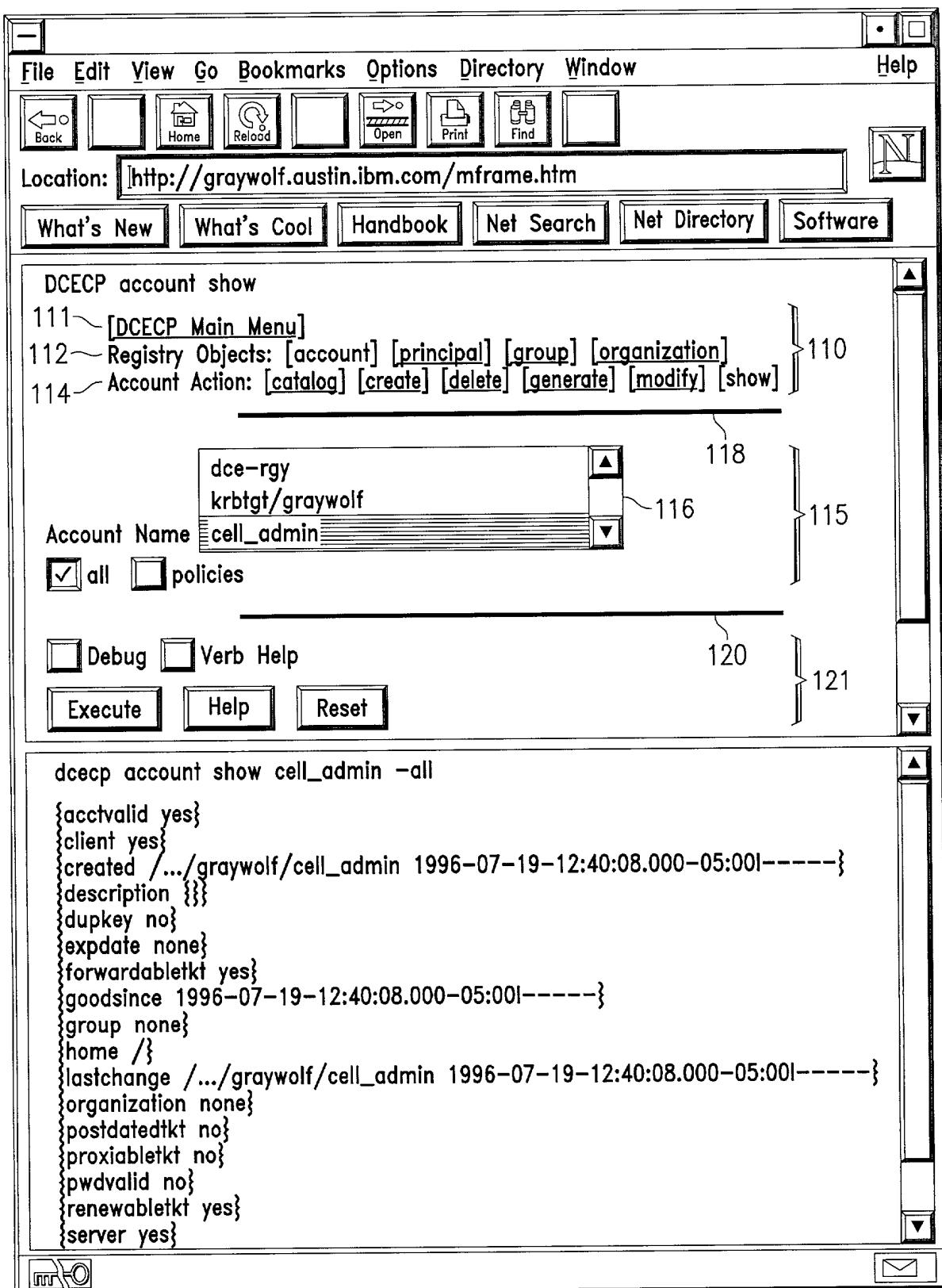


FIG. 6

**DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As a below named inventor, I hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**WEB-BASED DCE MANAGEMENT**

the specification of which (check one)

X is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

\_\_\_\_ Yes \_\_\_\_ No  
(Number) (Country) (Day/Month/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial #)	(Filing date)	(Status)
------------------------	---------------	----------

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John W. Henderson, Jr., Reg. No. 26,907; William T. Ellis, Reg., No. 26,874; Thomas E. Tyson, Reg. No. 28,543; Robert M. Carwell, Reg. No. 28,499; Richard A. Henkler, Reg. No. 39,220; Jeffrey S. LaBaw, Reg. No. 31,633; Douglas H. Lefeve, Reg. No. 26,193; David A. Mims, Jr., Reg. No. 32,708; Mark S. Walker, Reg. No. 30,699; Casimer K. Salys, Reg. No. 28,900; Volel Emile, Reg. No. 39,969; Christopher A. Hughes, Reg. No. 26,914; Edward A. Pennington, Reg. No. 32,588; John E. Hoel, Reg. No. 26,279; Joseph C. Redmond, Jr., Reg. No. 18,753 and David H. Judson, Reg. No. 30,467.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Shrader et al.** § Group Art Unit: 2773  
 Serial No.: **Unknown (Divisional of 08/889,727)** § Examiner: **Sax**  
 Filed: **(Herewith)** § Atty. Docket No.: **AUS9-1997-0113-US2**  
 For: **Method and System for Web-Based DCE**  
**Management** §

## ASSOCIATE POWER OF ATTORNEY

Hon. Assistant Commissioner of Patents  
 Washington, D.C. 20231

Sir:

I hereby appoint Joseph R. Burwell, Reg. No. 44,468 as associate attorney to prosecute the above-identified application and transact business in the U.S. Patent and Trademark Office connected herewith.

Date: 10/26/00

Respectfully submitted,



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